

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231

APPLICATION NO./ CONTROL NO. 09/492971	61/27/2000	FIRST NAMED INV	ENTOR /		ATTORNEY DOCKET NO. 25775-C JPW/SJT	
					EXAMINER	
			•		Rita Mitra	-
				ART UNIT	PAPER	
		COOPER & DUNHAM		1653		,
		JUL 2 2 9774		DATE MAILED): -	

Please find below and/or attached an Office communication concerning this application or proceeding.

DOCKET CLERK

Response: 8/18/04 MPL

Commissioner of Patents

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or armino acid sequences set forth in 37 C.F.R. § 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 C.F.R. §§ 1.821-1.825 for the reason(s) set forth on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures. Applicant must comply with the requirements of the sequence rules (37 CFR 1.821 - 1.825) before the application can be examined under 35 U.S.C. §§ 131 and 132.

APPLICANT IS GIVEN 30 days FROM THE DATE OF THIS LETTER WITHIN WHICH TO COMPLY WITH THE SEQUENCE RULES, 37 C.F.R.. §§ 1.821-1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 C.F.R. § 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 C.F.R. § 1.136. In no case may an applicant extend the period for response beyond the six month statutory period. Direct the response to the undersigned. Applicant is requested to return a copy of the attached Notice to Comply with the response.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to **Rita Mitra** whose telephone number is (571) 272-0954. The examiner can normally be reached on weekdays from 9:30 to 6:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, **Jon Weber** can be reached at (571) 272-0925. The fax number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (571) 272-0547.

Jon P. Weber, Ph.D. Primary Examiner

Application No. Applicant(s) 09/492971 Vogel et al. **Notice to Comply Art Unit** Examiner Rita Mitra, Ph. D. 1653 NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)). The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s): attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c). 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). 1 6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e). ☐ 7. Other: **Applicant Must Provide:** An initial or substitute computer readable form (CRF) copy of the "Sequence Listing". An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification. A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or

1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

Patentin Software Program Support

Technical Assistance......703-287-0200

To Purchase Patentin Software......703-306-2600

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR REPLY



RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:	09	492.	97	7]
Source:		1600		,
Date Processed by STIC:		6-9-	04	
•			$\overline{}$	

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.2 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1 EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- 3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 06/05/04):
 U.S. Patent and Trademark Office, 220 20th Street S., Customer Window, Mail Stop Sequence, Crystal Plaza Two, Lobby, Room 1B03, Arlington, VA 22202

Revised 05/17/04

Raw Sequence Listing Error Summary

ERROR DETECTED	SOURS IED CORRECTION ASSESSMENT AND A CONTRACT OF THE CONTRACT
ATTN: NEW RULES	CASES: PLEASE DISREGARD ENGLISH TAL PHAR TO THE TOTAL PARTY OF THE TOTAL PHAR TO THE TOTAL PHAR TOTAL PHAR TOTAL PHAR TO THE TOTAL PHAR TOTAL PH
IWrapped No Wrapped Ar	CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE ucleics The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to 3; this will
2Invalid Line	Length The rules require that a line not exceed 72 characters in length. This includes white
3Misaligned A Numbering	mino The numbering under each 5th amino acid is misaligned. Do not use tab codes between numbers:
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
5Variable Lengi 6Patentin 2.0	Sequence(s) contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be
"bug"	A "bug" in Patentln version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) Normally, Patentln would automatically generate this section from the the subsequent amino acid sequence. Please manually copy the relevant <220>-<223> section to Artificial or Unknown sequences:
7Skipped Sequen (OLD RULES)	(2) INFORMATION FOR SEQ ID NO: X: (insert SEQ ID NO where "X" is shown) (xi) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) This sequence is intentionally skipped
8 Skipped Seguese	Please also adjust the "(11) NUMBER OF SEQUENCES." response to include the skipped sequences
(NEW RULES)	es Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence <400> sequence id number <400> sequence id number 000
9Use of n's or Xaa's	S Use of n's and/or Vary
(NEW RULES)	Per 1.823 of Sequence Parks
V	Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represent
Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species) <220> <223> section is required when <213> response is Unknown or
Usc of <220>	Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses. "Unknown." Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 0001/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
Patentin 2.0 "bug"	Please do not use "Copy to Disk" function of Patentln version 2.0. This causes a corrupted file, listing). Instead, please use "File Manager" or any other manual means to copy file to the control of th
Misuse of n/Xaa	"n" can only represent a single nucleotide; "Xaa" can only represent a single armino acid
	AMC - Biotechastra C

AMC - Biotechnology Systems Branch - 09/09/2003



1600

RAW SEQUENCE LISTING

DATE: 06/09/2004

PATENT APPLICATION: US/09/492,971

TIME: 16:16:32

Input Set : A:\PTO.FG.txt

3 <110> APPLI	CANT: Vogel et al., T	ikva					
5 <120> TITLE	OF INVENTION: FIBRIN	BINDING DOMAIN	POLYPEPTIDES	AND USES	AND N	IETHODS	OF
RODUCING SAME							
	REFERENCE: 25775-CZ-A	Z-A	•				
	NT APPLICATION NUMBER						
	NT FILING DATE: 2000-						
	R OF SEQ ID NOS: 38				•		
14 <170> SOFTW	ARE: PatentIn version	3.1	*			•	
			Oces Not	Comply			
17 <211> LENGI	H: 11		(/UGS 1101	Dickette	Need	ed,	
18 <212> TYPE:	DNA	. 1	1 CONTROCTED	()/3/(3tt)			
19 (213 > ORGAN	IISM: Synthetic Probe	see item					
21 <400> SEOUE	D NO: 1 TH: 11 DNA HISM: Synthetic Probe ENCE: 1 a D NO: 2 TH: 15	on error o	curinory			•	
22 ctqtttaaqc	a	Buscut.	,		11		
25 <210> SEQ I	D NO: 2	, _ (-	-		
26 <211> LENGT	H: 15						
27 <212> TYPE:	DNA			•			
	IISM: Synthetic Probe	>					
30 <400> SEQUE							
31 gacaaattcg					15		
34 <210> SEQ I							
35 <211> LENGT							
36 <212> TYPE:				•			
	NISM: Synthetic Probe	Y Commence of the Commence of					
39 <400> SEQUE	ENCE: 3						
40 tgagaagtgt	tttgatcatg ctgctgggac	ttcctatgtg g	•	•	41		
43 <210> SEQ I							
44 <211> LENGT	H: 43						
45 <212> TYPE:	DNA						
46 €213> ORGAN	NISM: Synthetic Probe	•					
48 <400> SEQUE	INCE: 4						
49 tccgaccaga	taggaagtcc cagcagcatg	atcaaaacac itc			43		
52 <210> SEQ I	ID NO: 5						
53 <211> LENGT	TH: 45						•
54 <212> TYPE:				-			
55 <213 > ORGAN	VISM: Synthetic Probe					•	
57 <400> SEQUE	ENCE: 5						
	gtgggagaag ccctaccaag	gctggatgat ggta	ag		45		
61 <210> SEQ I				•			
62 <211> LENGT							
63 <212> TYPE:							
64 (213 > ORGAN	NISM: Synthetic Probe	> .	•				
66 <400> SEQUE				•			
	atcatccagc cttggtaggg	cttctcccac gtt	tc		45		

RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/492,971

DATE: 06/09/2004 TIME: 16:16:32

Input Set : A:\PTO.FG.txt

```
70 <210> SEQ ID NO: 7
71 <211> LENGTH: 45
72 <212> TYPE: DNA
73 (213> ORGANISM: Synthetic Probe)
75 <400> SEQUENCE: 7
                                                                           45
76 attgtacttg cctgggagaa ggcagcggac gcatcacttg cactt
79 <210> SEQ ID NO: 8
80 <211> LENGTH: 44
                                       same error
81 <212> TYPE: DNA
82 (213 > ORGANISM: Synthetic Probe)
84 <400> SEQUENCE: 8
85 ctagaactgc aagtgatgcg tccgctgcct tctcccaggc aagt
                                                                           44
88 <210> SEQ ID NO: 9
89 <211> LENGTH: 38
90 <212> TYPE: DNA
91 <213> ORGANISM: Synthetic Probe
93 <400> SEQUENCE: 9
94 cctcctgttt ctccgtaagt gatcctgtaa tatctcac
                                                                           38
97 <210> SEQ ID NO: 10
98 <211> LENGTH: 33
99 <212> TYPE: DNA
100 (213> ORGANISM: Synthetic Probe
102 <400> SEQUENCE: 10
103 gaatcaagac ctgttttctg tcttcctcta aga
                                                                            33
106 <210> SEQ ID NO: 11
107 <211> LENGTH: 40
108 <212> TYPE: DNA
109 (213 > ORGANISM: Synthetic Probe)
111 <400> SEQUENCE: 11
112 ccaggtccct cggaacatca gaaactgttg attgttggcc
                                                                            40
115 <210> SEQ ID NO: 12
116 <211> LENGTH: 36
117 <212> TYPE: DNA
118 213> ORGANISM: Synthetic Probe
120 <400> SEQUENCE: 12
121 aattetgtga cacagtggce atagggagge tggggg
                                                                            36
124 <210> SEQ ID NO: 13
125 <211> LENGTH: 42
126 <212> TYPE: DNA
127 (213 > ORGANISM: Synthetic Probe
124 <400> SEQUENCE: 13
130 catgacccct tcattggttg tgcagatttc ctcgtgggca gc
                                                                            42
133 <210> SEQ ID NO: 14
134 <211> LENGTH: 14
135 <212 TYPE: DNA
136 <213 > ORGANISM: Synthetic Probe)
138 <400> SEQUENCE: 14
                                                                            14
139 ctgtttaata agca
142 <210> SEQ ID NO: 15
```

RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/492,971

DATE: 06/09/2004 TIME: 16:16:32

Input Set : A:\PTO.FG.txt

Output Set: N:\CRF4\06092004\I492971.raw

143 <211> LENGTH: 2327 144 <212> TYPE: PRT 145 (213) ORGANISM: Synthetic Probe Same error 147 <400> SEQUENCE: 15 149 Ser Lys Arg Gln Ala Gln Gln Met Val Gln Pro Gln Ser Pro Val Ala 153 Val Ser Gln Ser Lys Pro Gly Cys Tyr Asp Asn Gly Lys His Tyr Gln 20 157 Ile Asn Gln Gln Trp Glu Arg Thr Tyr Leu Gly Asn Val Leu Val Cys 40 35 161 Thr Cys Tyr Gly Gly Ser Arg Gly Phe Asn Cys Glu Ser Lys Pro Glu 162 50 165 Ala Glu Glu Thr Cys Phe Asp Lys Tyr Thr Gly Asn Thr Tyr Arg Val 70 169 Gly Asp Thr Tyr Glu Arg Pro Lys Asp Ser Met Ile Trp Asp Cys Thr 85 90 173 Cys Ile Gly Ala Gly Arg Gly Arg Ile Ser Cys Thr Ile Ala Asn Arg 100 105 177 Cys His Glu Gly Gly Gln Ser Tyr Lys Ile Gly Asp Thr Trp Arg Arg 115 120 181 Pro His Glu Thr Gly Gly Tyr Met Leu Glu Cys Val Cys Leu Gly Asn 140 135 130 185 Gly Lys Gly Glu Trp Thr Cys Lys Pro Ile Ala Glu Lys Cys Phe Asp 150 155 189 His Ala Ala Gly Thr Ser Tyr Val Val Gly Glu Thr Trp Glu Lys Pro 165 170 193 Tyr Gln Gly Trp Met Met Val Asp Cys Thr Cys Leu Gly Glu Gly Ser 180 185 197 Gly Arg Ile Thr Cys Thr Ser Arg Asn Arg Cys Asn Asp Gln Asp Thr 195 200 201 Arg Thr Ser Tyr Arg Ile Gly Asp Thr Trp Ser Lys Lys Asp Asn Arg 210 215 205 Gly Asn Leu Leu Gln Cys Ile Cys Thr Gly Asn Gly Arg Gly Glu Trp 230 206 225 209 Lys Cys Glu Arg His Thr Ser Val Gln Thr Thr Ser Ser Gly Ser Gly 245 250 210 213 Pro Phe Thr Asp Val Arg Ala Ala Val Tyr Gln Pro Gln Pro His Pro 214 217 Gln Pro Pro Tyr Gly His Cys Val Thr Asp Ser Gly Val Val Tyr 275 221 Ser Val Gly Met Gln Trp Leu Lys Thr Gln Gly Asn Lys Gln Met Leu 290 295 225 Cys Thr Cys Leu Gly Asn Gly Val Ser Cys Gln Glu Thr Ala Val Thr 310 315 229 Gln Thr Tyr Gly Gly Asn Leu Asn Gly Glu Pro Cys Val Leu Pro Phe 325 330 233 Thr Tyr Asn Gly Arg Thr Phe Tyr Ser Cys Thr Thr Glu Gly Arg Gln 340 345 237 Asp Gly His Leu Trp Cys Ser Thr Thr Ser Asn Tyr Glu Gln Asp Gln RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/492,971

DATE: 06/09/2004 TIME: 16:16:32

Input Set : A:\PTO.FG.txt

	8	•		355					360					365			
24	11	Lys	Tyr	Ser	Phe	Cys	Thr	Asp	His	Thr	Val	Leu	Val	Gln	Thr	Gln	Gly
24	12	_	370					375					380				
24	15	Gly	Asn	Ser	Asn	Gly	Ala	Leu	Cys	His	Phe	Pro	Phe	Leu	Tyr	Asn	Asn
		385				_	390					395					400
			Asn	Tvr	Thr	Asp	Cvs	Thr	Ser	Glu	Gly	Arq	Arq	Asp	Asn	Met	Lys
25						405	. • .				410	_	_	-		415	-
		Trn	Cvs	Glv	Thr	Thr	Gln	Asn	Tvr	Asp	Ala	Asp	Gln	Lvs	Phe	Glv	Phe
25		112	0 ,0	· · · · · · · · · · · · · · · · · · ·	420					425				-3	430		
		Cve	Pro	Mat	Ala	Δla	Hic	Glu			Cvs	Thr	Thr	Asn		Glv	Val
	58	Cys	110	435	,,,,	2.24		014	440		CID			445		1	
		Mot	ጥላም		Ile	Glv	Acn	Gln		Aen	Tave	Gin	His		Met	Glv	His
		Mec	450	Arg	116	Gry	лор	455	11p	АЗР	БуЗ	01	460	т.ор		017	
26		Wat		7 ~~	Cys	Th.~	Cvc		C111	λαη	Clv	Ara		Glu	Trn	Thr	Cve
			met	Arg	Cys	1111	-	vai	GIY	ASII	GIY	475	Gry	GIU	пр	1111	480
		465		m		~1 ~	470	7	3	a 1-	C		171	7.00	N a n	T] 0	
		TIE	Ala	Tyr	Ser		Leu	Arg	Asp	GIII		116	vaı	Asp	Asp		1111
27			_		-	485	m1 .	-1		- -	490	***	61	a 1	~1	495	M
		Tyr	Asn	vaı	Asn	Asp	Thr	Pne	His		Arg	HIS	GIU	GIU		HIS	Met
27		_	_	_	500	_		~ 7		505	_	~3	•	m	510	0	
		Leu	Asn	-	Thr	Cys	Phe	GIA		GIA	Arg	GIA	Arg		Lys	Cys	Asp
	78			515		_			520					525	_		
		Pro		Asp	Gln	Cys	Gln		Ser	GIu	Thr	GIA		Pne	Tyr	Gin	TTE
	32	_	530				_	535	_				540	_		_	_
		_	Asp	Ser	Trp	Glu		Tyr	Val	His	Gly		Arg	Tyr	Gin	Cys	
		545					550	•				555		_	_		560
28	39	Cys	Tyr	Gly	Arg		Ile	Gly	Glu	Trp		Cys	Gln	Pro	Ļeu		Thr
29						565	_			_	570		_			575	_
29	93	\mathtt{Tyr}	Pro	Ser	Ser	Ser	Gly	Pro	Val		Val	Phe	Ile	Thr		Thr	Pro
29					580					585					590		
29	97	Ser	Gln	Pro	Asn	Ser	His	Pro		Gln	Trp	Asn	Ala		Gln	Pro	Ser
29				595					600					605		_	_
3 0	1	His	Ile	Ser	Lys	${ t Tyr}$	Ile	Leu	Arg	Trp	Arg	Pro		Asn	Ser	Val	Gly
3 0			610					615					620				
3 ()5	Arg	Trp	Lys	Glu	Ala	Thr	Ile	Pro	Gly	His	Leu	Asn	Ser	Tyr	Thr	
		625					630					635					640
30	9	Lys	Gly	Leu	Lys	Pro	Gly	Val	Val	Tyr	Glu	Gly	Gln	Leu	Ile	Ser	Ile
	LO		-			645					650					655	
31	L3	Gln	Gln	Tyr	Gly	His	Gln	Glu	Val	Thr	Arg	Phe	Asp	Phe		Thr	Thr
	4				660					665					670		
31	l 7	Ser	Thr	Ser	Thr	Pro	Val	Thr	Ser	Asn	Thr	Val	Thr	Gly	Glu	Thr	Thr
3 1	8 1			675					680					685			
32	21	Pro	Phe	Ser	Pro	Leu	Val	Ala	Thr	Ser	Glu	Ser	Val	Thr	Glu	Ile	Thr
	22		690	. *				695					700				
32	25	Ala	Ser	Ser	Phe	Val	Val	Ser	Trp	Val	Ser	Ala	Ser	Asp	Thr	Val	Ser
		705					710		_			715					720
32	29	Gly	Phe	Arg	Val	Glu	Tyr	Glu	Leu	Ser	Glu	Glu	Gly	Asp	Glu	Pro	Gln
	30	-		_		725	-				730		-	-		735	
			Leu	Asp	Leu		Ser	Thr	Ala	Thr			Asn	Ile	Pro	Asp	Leu
33		-		_	740					745					750	-	
	-				-												

RAW SEQUENCE LISTING DATE: 06/09/2004 PATENT APPLICATION: US/09/492,971 TIME: 16:16:32

Input Set : A:\PTO.FG.txt

Output Set: N:\CRF4\06092004\I492971.raw

337 Leu Pro Gly Arg Lys Tyr Ile Val Asn Val Tyr Gln Ile Ser Glu Asp 338 755 341 Gly Glu Gln Ser Leu Ile Leu Ser Thr Ser Gln Thr Thr Ala Pro Asp 345 Ala Pro Pro Asp Pro Thr Val Asp Gln Val Asp Asp Thr Ser Ile Val 349 Val Arg Trp Ser Arg Pro Gln Ala Pro Ile Thr Gly Tyr Arg Ile Val 353 Tyr Ser Pro Ser Val Glu Gly Ser Ser Thr Glu Leu Asn Leu Pro Glu 357 Thr Ala Asn Ser Val Thr Leu Ser Asp Leu Gln Pro Gly Val Gln Tyr 361 Asn Ile Thr Ile Tyr Ala Val Glu Glu Asn Gln Glu Ser Thr Pro Val 365 Val Ile Gln Glu Thr Thr Gly Thr Pro Arg Ser Asp Thr Val Pro 369 Ser Pro Arg Asp Leu Gln Phe Val Glu Val Thr Asp Val Lys Val Thr 373 Ile Met Trp Thr Pro Pro Glu Ser Ala Val Thr Gly Tyr Arg Val Asp 377 Val Ile Pro Val Asn Leu Pro Gly Glu His Gly Gln Arg Leu Pro Ile 381 Ser Arg Asn Thr Phe Ala Glu Val Thr Gly Leu Ser Pro Gly Val Thr 385 Tyr Tyr Phe Lys Val Phe Ala Val Ser His Gly Arg Glu Ser Lys Pro 389 Leu Thr Ala Gln Gln Thr Thr Lys Leu Asp Ala Pro Thr Asn Leu Gln 393 Phe Val Asn Glu Thr Asp Ser Thr Val Leu Val Arg Trp Thr Pro Pro 397 Arg Ala Gln Ile Thr Gly Tyr Arg Leu Thr Val Gly Leu Thr Arg Arg 401 Gly Gln Pro Arg Gln Tyr Asn Val Gly Pro Ser Val Ser Lys Tyr 405 Pro Leu Arg Asn Leu Gln Pro Ala Ser Glu Tyr Thr Val Ser Leu 409 Val Ala Ile Lys Gly Asn Gln Glu Ser Pro Lys Ala Thr Gly Val 413 Phe Thr Thr Leu Gln Pro Gly Ser Ser Ile Pro Pro Tyr Asn Thr 417 Glu Val Thr Glu Thr Thr Ile Val Ile Thr Trp Thr Pro Ala Pro 421 Arg Ile Gly Phe Lys Leu Gly Val Arg Pro Ser Gln Gly Gly Glu 425 Ala Pro Arg Glu Val Thr Ser Asp Ser Gly Ser Ile Val Val Ser 429 Gly Leu Thr Pro Gly Val Glu Tyr Val Tyr Thr Ile Gln Val Leu 433 Arg Asp Gly Gln Glu Arg Asp Ala Pro Ile Val Asn Lys Val Val

RAW SEQUENCE LISTING ERROR SUMMARY

DATE: 06/09/2004

PATENT APPLICATION: US/09/492,971

TIME: 16:16:33

Input Set : A:\PTO.FG.txt

Output Set: N:\CRF4\06092004\I492971.raw

nvalid Line Length:

he rules require that a line not exceed 72 characters in length. This includes spaces.

eq#:1; Line(s) 5

VERIFICATION SUMMARY

DATE: 06/09/2004

PATENT APPLICATION: US/09/492,971

TIME: 16:16:33

Input Set : A:\PTO.FG.txt

NOTICE OF OFFICE PLAN TO CEASE SUPPLYING COPIES OF CITED U.S. PATENT REFERENCES WITH OFFICE ACTIONS, AND PILOT TO EVALUATE THE ALTERNATIVE OF PROVIDING ELECTRONIC ACCESS TO SUCH U.S. PATENT REFERENCES

Summary

The United States Patent and Trademark Office (Office or USPTO) plans in the near future to: (1) cease mailing copies of U.S. patents and U.S. patent application publications (US patent references) with Office actions except for citations made during the international stage of an international application under the Patent Cooperation Treaty and those made during reexamination proceedings; and (2) provide electronic access to, with convenient downloading capability of, the US patent references cited in an Office action via the Office's private Patent Application Information Retrieval (PAIR) system which has a new feature called "E-Patent Reference." Before ceasing to provide copies of U.S. patent references with Office actions, the Office shall test the feasibility of the E-Patent Reference feature by conducting a two-month pilot project starting with Office actions mailed after December 1, 2003. The Office shall evaluate the pilot project and publish the results in a notice which will be posted on the Office's web site (www.USPTO.gov) and in the Patent Official Gazette (O.G.). In order to use the new E-Patent Reference feature during the pilot period, or when the Office ceases to send copies of U.S. patent references with Office actions, the applicant must: (1) obtain a digital certificate from the Office: (2) obtain a customer number from the Office, and (3) properly associate applications with the customer number. The pilot project does not involve or affect the current Office practice of supplying paper copies of foreign patent documents and non-patent literature with Office actions. Paper copies of references will continue to be provided by the USPTO for searches and written opinions prepared by the USPTO for international applications during the international stage and for reexamination proceedings.

Description of Pilot Project to Provide Electronic Access to Cited U.S. Patent References

On December 1, 2003, the Office will make available a new feature, E-Patent Reference, in the Office's private PAIR system, to allow more convenient downloading of U.S. patents and U.S. patent application publications. The new feature will allow an authorized user of private PAIR to download some or all of the U.S. patents and U.S. patent application publications cited by an examiner on form PTO-892 in Office actions, as well as U.S. patents and U.S. patent application publications submitted by applicants on form PTO/SB08 (1449) as part of an IDS. The retrieval of some or all of the documents may be performed in one downloading step with the documents encoded as Adobe Portable Document format (.pdf) files, which is an improvement over the current page-by-page retrieval capability from other USPTO systems.

Steps to Use the New E-Patent Reference Feature During the Pilot Project and Thereafter

Access to private PAIR is required to utilize E-Patent Reference. If you don't already have access to private PAIR, the Office urges practitioners, and applicants not represented by a practitioner, to take advantage of the transition period to obtain a no-cost USPTO Public Key Infrastructure (PKI) digital certificate, obtain a USPTO customer number, associate all of their pending and new application filings with their customer number, install no-cost software (supplied by the Office) required to access private PAIR and E-Patent Reference feature, and make appropriate arrangements for Internet access. The full instructions for obtaining a PKI digital certificate are available at the Office's Electronic Business Center (EBC) web page at: http://www.uspto.gov/ebc/downloads.html. Note that a notarized signature will be required to obtain a digital certificate.

To get a Customer Number, download and complete the Customer Number Request form, PTO-SB125, at: http://www.uspto.gov/web/forms/sb0125.pdf. The completed form can then be transmitted by facsimile to the Electronic Business Center at (703) 308-2840, or mailed to the address on the form. If you are a registered attorney or patent agent, then your registration number must be associated with your customer number. This is accomplished by adding your registration number to the Customer Number Request form. A description of associating a customer number with an application is described at the EBC web page at: http://www.uspto.gov/ebc/registration_pair.html.

The E-Patent Reference feature will be accessed using a new button on the private PAIR screen. Ordinarily all of the cited U.S. patent and U.S. patent application publication references will be available over the Internet using the Office's new E-Patent Reference feature. The size of the references to be downloaded will be displayed by E-Patent Reference so the download time can be estimated. Applicants and registered practitioners can select to download all of the references or any combination of cited references. Selected references will be downloaded as complete documents as Adobe Portable Document Format (.pdf) files. For a limited period of time, the USPTO will include a copy of this notice with Office actions to encourage applicants to use this new feature and, if needed, to take the steps outlined above in order to be able to utilize this new feature during the pilot and thereafter.

During the two-month pilot, the Office will evaluate the stability and capacity of the E-Patent Reference feature to reliably provide electronic access to cited U.S. patent and U.S. patent application publication references. While copies of U.S. patent and U.S. patent application publication references cited by examiners will continue to be mailed with Office actions during the pilot project, applicants are encouraged to use the private PAIR and the E-Patent Reference feature to electronically access and download cited U.S. patent and U.S. patent application publication references so the Office will be able to objectively evaluate its performance. The public is encouraged to submit comments to the Office on the usability and performance of the E-Patent Reference feature during the pilot. Further, during the pilot period registered practitioners, and applicants not represented by a practitioner, are encouraged to experiment with the feature, develop a proficiency in using the feature, and establish new internal processes for using the new access to the cited U.S. patents and U.S. patent application publications to prepare for the anticipated cessation of the current Office practice of supplying copies of such cited

references. The Office plans to continue to provide access to the E-Patent Reference feature during its evaluation of the pilot.

Comments

Comments concerning the E-Patent Reference feature should be in writing and directed to the Electronic Business Center (EBC) at the USPTO by electronic mail at eReference@uspto.gov or by facsimile to (703) 308-2840. Comments will be posted and made available for public inspection. To ensure that comments are considered in the evaluation of the pilot project, comments should be submitted in writing by January 15, 2004.

Comments with respect to specific applications should be sent to the Technology Centers' customer service centers. Comments concerning digital certificates, customer numbers, and associating customer numbers with applications should be sent to the Electronic Business Center (EBC) at the USPTO by facsimile at (703) 308-2840 or by e-mail at EBC@uspto.gov.

Implementation after Pilot

After the pilot, its evaluation, and publication of a subsequent notice as indicated above, the Office expects to implement its plan to cease mailing paper copies of U.S. patent references cited during examination of non provisional applications on or after February 2, 2004; although copies of cited foreign patent documents, as well as non-patent literature, will still be mailed to the applicant until such time as substantially all applications have been scanned into IFW.

For Further Information Contact

Technical information on the operation of the IFW system can be found on the USPTO website at http://www.uspto.gov/web/patents/ifw/index.html. Comments concerning the E-Patent Reference feature and questions concerning the operation of the PAIR system should be directed to the EBC at the USPTO at (866) 217-9197. The EBC may also be contacted by facsimile at (703) 308-2840 or by e-mail at EBC@uspto.gov.

Date. 12 1 0 3

Nicholas P. Godici

Commissioner for Patents

USPTO TO PROVIDE ELECTRONIC ACCESS TO CITED U.S. PATENT REFERENCES WITH OFFICE ACTIONS AND CEASE SUPPLYING PAPER COPIES

In support of its 21st Century Strategic Plan goal of increased patent e-Government, beginning in June 2004, the United States Patent and Trademark Office (Office or USPTO) will begin the phasein of its E-Patent Reference program and hence will: (1) provide downloading capability of the U.S. patents and U.S. patent application publications cited in Office actions via the E-Patent Reference feature of the Office's Patent Application Information Retrieval (PAIR) system; and (2) cease mailing paper copies of U.S. patents and U.S. patent application publications with Office actions (in applications and during reexamination proceedings) except for citations made during the international stage of an international application under the Patent Cooperation Treaty (PCT). In order to use the new E-Patent Reference feature applicants must: (1) obtain a digital certificate and software from the Office; (2) obtain a customer number from the Office; and (3) properly associate patent applications with the customer number. Alternatively, copies of all U.S. patents and patent application publications can be accessed without a digital certificate from the USPTO web site, from the USPTO Office of Public Records, and from commercial sources. The Office will continue the practice of supplying paper copies of foreign patent documents and nonpatent literature with Office actions. Paper copies of cited references will continue to be provided by the USPTO for international applications during the international stage.

Schedule

June 2004

TCs 1600, 1700, 2800 and 2900

July 2004

TCs 3600 and 3700

August 2004

TCs 2100 and 2600

All U.S. patents and U.S. patent application publications are available on the USPTO web site. However, a simple system for downloading the <u>cited</u> U.S. patents and patent application publications has been established for applicants, called the E-Patent Reference system. As E-Patent Reference and Private PAIR require participating applicants to have a customer number, retrieval software and a digital certificate, all applicants are strongly encouraged to contact the Patent Electronic Business Center to acquire these items. To be ready to use this system by June 1, 2004, contact the Patent EBC as soon as possible by phone at 866-217-9197 (toll-free), 703-305-3028 or 703-308-6845 or electronically via the Internet at <u>ebc@uspto.gov</u>.

Other Options

The E-Patent Reference function requires the applicant to use the secure Private PAIR system, which establishes confidential communications with the applicant. Applicants using this facility must receive a digital certificate, as described above. Other options for obtaining patents which do not require the digital certificate include the USPTO's free Patents on the Web program (http://www.uspto.gov/patft/index.html). The USPTO's Office of Public Records also supplies copies of patents for a fee (http://ebizl.uspto.gov/oems25p/index.html). Commercial sources also provide U.S. patents and patent application publications.

For complete instructions see the Official Gazette Notice, USPTO TO PROVIDE ELECTRONIC ACCESS TO CITED U.S. PATENT REFERENCES WITH OFFICE ACTIONS AND CEASE SUPPLYING PAPER COPIES, on the USPTO web site.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

DATE MAILED: 07/19/2004

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/492,971	01/27/2000	Tikva Voqel	25775-C JPW/SJT	1611
. 75	590 07/19/2004	•	EXAM	INER
John P. White		•	MITRA	, RITA
Cooper & Dunh	nam OF THE AMERICAS		ART UNIT	PAPER NUMBER
New York, NY			1653	

Please find below and/or attached an Office communication concerning this application or proceeding.